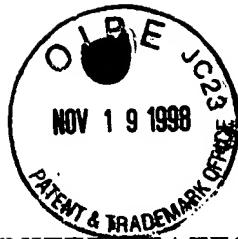


YAO-3950



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5
1/12/99
Tia

Applicant: Yoshihisa Nagano et al. : Art Unit: 2815
Serial No.: 09/103,873 : Examiner: G. Eckert II
Filed: June 24, 1998 :
FOR: SEMICONDUCTOR DEVICE AND :
METHOD FOR FABRICATING THE SAME :

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

SIR :

RECEIVED
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GROUP 2100

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

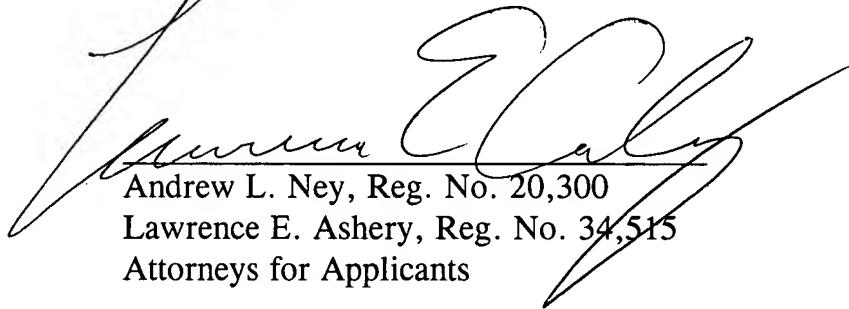
Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Japanese references 3-133131, 6-350083 and 7-161952 are not in the English language, but an English translation thereof is attached to each copy of the reference submitted herewith.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Andrew L. Ney, Reg. No. 20,300
Lawrence E. Ashery, Reg. No. 34,515
Attorneys for Applicants

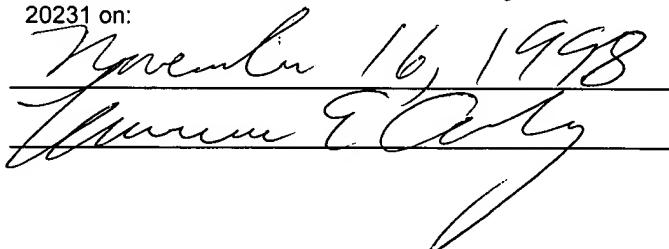
Enclosures: PTO Form 1449
Search Report
Copies of (10) references

Dated: November 16, 1998

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(610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:



November 16, 1998
Lawrence E. Ashery